

*Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571*

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DEC 16 2024

**U.S. BANKRUPTCY COURT**  
**MARY A. SCHOTT, CLERK**

**COURT USE ONLY**



85 Willow Rd, Menlo Park, CA 94025  
help@robinhood.com

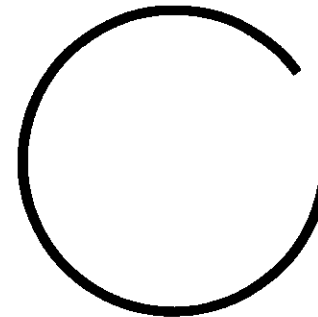
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11/01/2024 to 11/30/2024

**John Casovia** Account #:432119568  
100 Markham Ave, Vacaville, CA 95688

Account Summary	Opening Balance	Closing Balance
Brokerage Cash Balance	\$0.04	\$0.00
Deposit Sweep Balance	\$7.01	\$15.93
Total Securities	\$27.81	\$146.79
<b>Portfolio Value</b>	<b>\$34.86</b>	<b>\$162.72</b>

Portfolio Allocation



- Cash and Cash Equivalents  
9.79%
- Equities  
90.21%
- Options  
0.00%

Income and Expense Summary	This Period	Year to Date
Dividends	\$0.00	\$0.00
Capital Gains Distributions	\$0.00	\$0.00
Interest Earned	\$0.01	\$0.08

As of the closing date of this statement, the annual percentage yield (APY) for customers enrolled in the brokerage cash sweep program is 0.01% for customers who are not subscribed to Robinhood Gold. For customers subscribed to Robinhood Gold or enrolled in a boosted rate promotion, the APY ranges from 4.25% - 4.75%. Program banks pay interest on your swept cash, minus any fees paid to Robinhood. Neither Robinhood Financial LLC nor any of its affiliates are banks. Investment products are not FDIC-insured, not bank guaranteed, and may lose value. Uninvested cash swept to the program banks is eligible for FDIC insurance up to applicable limits, subject to FDIC rules. The Securities Investor Protection Corporation has taken the position that uninvested cash swept to the program banks is not covered by the Securities Investor Protection Act.

This statement shall be conclusive if not objected to in writing within ten days (except with respect to debit card transactions). Errors and omissions exempted. Please address all communications to the firm and not to the individuals. Address changes or other material changes in your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

Robinhood Securities, LLC ("RHS") carries your account as the clearing broker by arrangement with your introducing broker-dealer, Robinhood Financial LLC ("RHF").

RHS is a Member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available upon request or at [www.sipc.org](http://www.sipc.org).

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## Portfolio Summary

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Meta Materials Estimated Yield: 0.00%	MMATQ	Margin	114	\$0.0610	\$6.95	\$0.00	4.27%
<del>01/01/2024</del> <del>Estimated Yield: 0.00%</del>	<del>MMATQ</del>	<del>Margin</del>	<del>114</del>	<del>\$0.0610</del>	<del>\$6.95</del>	<del>\$0.00</del>	<del>4.27%</del>
Total Securities					\$146.79	\$0.00	90.21%
Brokerage Cash Balance					\$0.00		0.00%
Deposit Sweep Balance					\$15.93		9.79%
Total Priced Portfolio					\$162.72		

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
ACH Deposit		Margin	ACH	11/20/2024				\$65.00
Siyata Mobile CUSIP: 83013Q806	SYTA	Margin	Buy	11/20/2024	64	\$1.0300	\$65.92	
Gold Subscription Fee		Margin	GOLD	11/25/2024			\$5.00	
ACH Deposit		Margin	ACH	11/25/2024				\$55.00
ACH Deposit		Margin	ACH	11/25/2024				\$40.00
Siyata Mobile CUSIP: 83013Q806	SYTA	Margin	Buy	11/25/2024	50	\$0.9740	\$48.70	
Siyata Mobile CUSIP: 83013Q806	SYTA	Margin	Buy	11/25/2024	33	\$0.9549	\$31.51	
Interest Payment		Sweep	INT	11/29/2024				\$0.01 /
<b>Total Funds Paid and Received</b>							<b>\$151.13</b>	<b>\$160.01</b>

### Executed Trades Pending Settlement

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
Total Executed Trades Pending Settlement							\$0.00	\$0.00

**Deposit Sweep Program Banks**

These are the program banks where your uninvested cash is held as of 11/29/2024. Balances are insured by FDIC, not SIPC.

Bank	Balance
Citibank	\$14.79
Wells Fargo	\$1.14

As of the closing date of this statement, the annual percentage yield (APY) for customers enrolled in the brokerage cash sweep program is 0.01% for customers who are not subscribed to Robinhood Gold. For customers subscribed to Robinhood Gold or enrolled in a boosted rate promotion, the APY ranges from 4.25% - 4.75%. Program banks pay interest on your swept cash, minus any fees paid to Robinhood. Neither Robinhood Financial LLC nor any of its affiliates are banks. Investment products are not FDIC-insured, not bank guaranteed, and may lose value. Uninvested cash swept to the program banks is eligible for FDIC insurance up to applicable limits, subject to FDIC rules. The Securities Investor Protection Corporation has taken the position that uninvested cash swept to the program banks is not covered by the Securities Investor Protection Act.

**Deposit Sweep Activity**

These transactions reflect movements of uninvested cash to and from program banks.

Description	Date	Debit	Credit	Balance
Opening Sweep Balance	11/01/2024			\$7.01
FDIC Sweep	11/01/2024		\$0.04	\$7.05
FDIC Sweep	11/21/2024	\$0.92		\$6.13
FDIC Sweep	11/25/2024	\$5.00		\$1.13
FDIC Sweep	11/26/2024		\$14.79	\$15.92
Interest Payment	11/29/2024		\$0.01	\$15.93
Closing Sweep Balance	11/30/2024			\$15.93
Total Swept Funds		\$5.92	\$14.84	

## Important Information

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on debit balances in your account may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the month to the last day of the month. The interest is based on the average daily balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the new debit balance.

We are required to report to the Internal Revenue Service all cash dividends and interest credited to your account on securities held for you in our name. All dividends and interest credits should be included in your income tax return.

Information relative to regulatory trading/transaction fees and any other charges incurred in connection with equity or listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of the information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from amongst all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise Robinhood of any material changes concerning your investment objectives or financial situation by updating your information using the Robinhood platform or by contacting [help@robinhood.com](mailto:help@robinhood.com).

Any free credit balances represent funds payable on demand of the customer.

RHS acts as clearing agent for your trades. Your account, which was introduced to us by RHF, is established under your name on a "fully disclosed" basis at RHS. You remain a customer of RHF.

### Notice to Customers

As required, under SEC rules, both the RHF and RHS Order Routing Reports as well as information regarding specific order routing information is available free of charge upon request.

As a clearing agent, RHS provides securities clearance and may provide order execution based on RHF instructions. RHS will not be involved with or have any responsibility for decisions regarding securities transactions in your account. RHF will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders and any instructions regarding the deposit or withdrawal of securities or monies should be made through RHF.

In addition to the above mentioned services, RHS will provide cashing services, safeguarding of funds and securities while in our possession, monitoring compliance with applicable credit Regulation T and RHS internal policies, preparing and making accessible your account records (including transaction confirmations and periodic statements of your account).

The dividend totals reflected in the Income and Expense Summary are inclusive of both taxable and non-taxable dividends.

Interest charges to your account may be based on the size and net debit balance during the interest period. These rates are subject to revision with appropriate notice. For more complete information regarding interest charges to customers, consult the RHF Fee Schedule, available at <https://rbnhd.co/fees>.

Robinhood Gold Card is offered by Robinhood Credit, Inc. ("RCT") and is issued by Coastal Community Bank, Member FDIC, pursuant to a license from Visa U.S.A. Inc. RCT is a financial technology company, not a bank.

In case of errors or questions about your electronic transfers, including your debit card transactions, or if you think your statement or receipt is wrong or if you need more information about a transaction listed on the statement or receipt, email RHF or RHS at [help@robinhood.com](mailto:help@robinhood.com). RHF must hear from you no later than sixty (60) days after you were sent the FIRST statement on which the problem or error appeared.

1. Tell RHF your name and account number.
2. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
3. Tell RHF the dollar amount of the suspected error.

RHF will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, RHF will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes RHF to complete our investigation.

Please see the Robinhood Securities, LLC & Robinhood Financial LLC Insured Network Deposit Sweep Program Disclosures, available at <https://rbnhd.co/ind-disclosure>, for the terms and conditions of the sweep program, including information regarding FDIC insurance coverage.

RHF and RHS are members of the Financial Industry Regulatory Authority, Inc. ("FINRA"), and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or via their website [www.finra.org](http://www.finra.org). RHS carries your account and acts as your custodian for funds and securities deposited with us directly by you, through RHF as a result of transactions we process to your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to RHF. In order to protect your rights, please confirm any oral communications in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to: [help@robinhood.com](mailto:help@robinhood.com).

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealers relationship with such venues. In addition, the Rule (SEC Rule 606) requires broker-dealers to disclose, upon customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact RHF.

All trade confirmations are transmitted on or about the transaction date.

Statement of Financial Condition  
Robinhood Securities, LLC. Unaudited Statement of Financial Condition as of June 30, 2024 is available on the Company's website at [www.robinhood.com/legal](http://www.robinhood.com/legal). A paper copy may be requested at no cost by calling 1-(800)-282-1327. On June 30, 2024, Robinhood Securities, LLC. had a net capital of \$2,457,014,912, which was \$2,349,014,248 in excess of its required net capital of \$108,000,664.

Please retain this statement as it will be helpful in preparing your income tax returns and may be needed along with subsequent statements to verify interest charges in your account. This statement shall be deemed conclusive unless objected to in writing within ten (10) days.

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**Meta Materials Limit Buy**

Jun 9, 2023

**\$498.91**

2,144 shares at \$0.2327

**Meta Materials Limit Buy**

Jun 9, 2023

**\$485.41**

2,086 shares at \$0.2327

**Robinhood Gold**

Jun 4, 2023

**-\$5.00****Interest**

May 31, 2023

**+\$0.02****Robinhood Gold**

May 5, 2023

**-\$5.00****Deposit to individual account from Wells Fargo Preferred Checking**

May 3, 2023

**+\$220.00****Meta Materials Limit Buy**

Apr 27, 2023

**\$215.29**

1,164 shares at \$0.185

**Deposit to individual account from Wells Fargo Preferred Checking**

Apr 21, 2023

**+\$110.00****Meta Materials Limit Buy**

Apr 20, 2023

**\$109.95**

564 shares at \$0.195

**Deposit to individual account from Wells Fargo Preferred Checking**

Apr 17, 2023

**+\$110.00****Meta Materials Limit Buy**

Apr 14, 2023

**\$105.08**

470 shares at \$0.2236

**Robinhood Gold**

Apr 5, 2023

**-\$5.00****Deposit to individual account from Wells Fargo Preferred Checking**

Mar 13, 2023

**+\$10.00****Robinhood Gold**

Mar 6, 2023

**-\$5.00****Robinhood Gold**

Feb 4, 2023

**-\$5.00**

Refine Results

Account

Type

Search

Deposit to individual account from Wells Fargo Preferred Checking  
Jan 6, 2023

+\$10.00

Robinhood Gold  
Jan 5, 2023

-\$5.00

Deposit to individual account from Wells Fargo Preferred Checking  
Dec 9, 2022

+\$500.00

Meta Materials Market Buy  
Dec 8, 2022

**\$500.00**  
265.251989 shares at \$1.89

Robinhood Gold  
Dec 6, 2022

-\$5.00

Deposit to individual account from Wells Fargo Preferred Checking  
Dec 2, 2022

+\$2,000.00

Meta Materials Market Buy  
Nov 26, 2022

**\$2,000.00**  
1,058.201058 shares at \$1.89

Deposit to individual account from Wells Fargo Preferred Checking  
Nov 8, 2022

+\$10.00

Robinhood Gold  
Nov 6, 2022

-\$5.00

Deposit to individual account from Wells Fargo Preferred Checking  
Oct 21, 2022

+\$450.00

Meta Materials Market Buy  
Oct 20, 2022

**\$450.00**  
539.564956 shares at \$0.834

Deposit to individual account from Wells Fargo Preferred Checking  
Oct 19, 2022

+\$350.00

Deposit to individual account from Wells Fargo Preferred Checking  
Oct 18, 2022

+\$883.00

Meta Materials Market Buy  
Oct 13, 2022

**\$350.00**  
310.013679 shares at \$1.13

Meta Materials Market Buy  
Oct 13, 2022

**\$120.00**  
100.964122 shares at \$1.19

Refine Results

Account

Type

Search

Meta Materials Market Buy  
Oct 12, 2022

Account: Robinhood  
\$791.17  
883 shares at \$0.896

Meta Materials Market Buy  
Oct 12, 2022

\$606.15  
691 shares at \$0.8772

DOGE Market Sell  
Oct 12, 2022

\$637.29  
10,707.90 Dogecoin at \$0.059516

Refine Results

Robinhood Gold  
Oct 7, 2022

-\$5.00

Account

Robinhood Gold  
Sep 7, 2022

-\$5.00

Type

Search

Deposit to individual account from Wells Fargo Preferred Checking  
Aug 10, 2022

+\$15.00

Robinhood Gold  
Aug 8, 2022

-\$5.00

Robinhood Gold  
Jul 9, 2022

-\$5.00

Deposit to individual account from Wells Fargo Preferred Checking  
Jun 30, 2022

+\$675.00

Meta Materials Market Buy  
Jun 24, 2022

\$675.00  
519,235,521 shares at \$1.30

Robinhood Gold  
Jun 9, 2022

-\$5.00

Deposit to individual account from Wells Fargo Preferred Checking  
May 26, 2022

+\$400.00

Meta Materials Market Buy  
May 20, 2022

\$400.00  
219,178,082 shares at \$1.83

Robinhood Gold  
May 10, 2022

-\$5.00

Deposit to individual account from Wells Fargo Preferred Checking  
Apr 13, 2022

+\$20.00

Robinhood Gold  
Apr 10, 2022 **-\$5.00**

Robinhood Gold  
Mar 11, 2022 **-\$5.00**

Robinhood Gold  
Feb 9, 2022 **-\$5.00**

Robinhood Gold  
Jan 10, 2022 **-\$5.00**

Robinhood Gold  
Dec 11, 2021 **-\$5.00**

Deposit to individual account from Wells Fargo Preferred Checking  
Dec 7, 2021 **+\$110.00**

Deposit to individual account from Wells Fargo Preferred Checking  
Dec 7, 2021 **+\$250.00**

Meta Materials Market Buy  
Dec 6, 2021 **-\$100.00**  
33.452541 shares at \$2.99

DOGE Market Buy  
Dec 1, 2021 **\$65.00**  
308.78 Dogecoin at \$0.210496

Meta Materials Market Buy  
Dec 1, 2021 **\$200.00**  
60.060284 shares at \$3.33

Deposit to individual account from Wells Fargo Preferred Checking  
Nov 15, 2021 **+\$125.00**

DOGE Market Buy  
Nov 12, 2021 **\$100.05**  
389.75 Dogecoin at \$0.256694

Deposit to individual account from Wells Fargo Preferred Checking  
Nov 12, 2021 **+\$105.00**

Robinhood Gold  
Nov 11, 2021 **-\$5.00**

Meta Materials Market Buy  
Nov 10, 2021 **\$105.00**  
21.450459 shares at \$4.90

Refine Results

Account

Type

Search

Deposit to individual account from Wells Fargo Preferred Checking  
Nov 5, 2021 +\$200.00

Deposit to individual account from Wells Fargo Preferred Checking  
Nov 4, 2021 +\$200.00

Deposit to individual account from Wells Fargo Preferred Checking  
Nov 3, 2021 +\$200.00

Deposit to individual account from Wells Fargo Preferred Checking  
Nov 2, 2021 +\$220.00

Meta Materials Market Buy  
Oct 30, 2021 \$200.00  
41.493775 shares at \$4.82

Meta Materials Market Buy  
Oct 29, 2021 \$200.00  
43.383947 shares at \$4.61

Meta Materials Market Buy  
Oct 29, 2021 \$225.00  
48.831304 shares at \$4.61

Fluence Energy Market Sell  
Oct 29, 2021 \$213.41  
6.075839 shares at \$35.13

Fluence Energy Market Buy  
Oct 28, 2021 \$200.00  
6.075839 shares at \$32.92

Fluence Energy Limit Buy  
Oct 28, 2021 Canceled

Meta Materials Market Buy  
Oct 27, 2021 \$213.00  
47.071823 shares at \$4.53

Robinhood Gold  
Oct 12, 2021 -\$5.00

Deposit to individual account from Wells Fargo Preferred Checking  
Sep 21, 2021 +\$20.00

Robinhood Gold  
Sep 12, 2021 -\$5.00

Refine Results

Account

Type

Search

-8549.96

